

01/31/01  
JC976 U.S. PTO

02-01-01

A  
PATENT

Docket No. 6169-212

PATENT APPLICATION TRANSMITTAL LETTER

Commissioner for Patents  
BOX PATENT APPLICATION  
Washington, DC 20231

11002 U.S. PTO  
09/773172  
01/31/01

Transmitted herewith for filing of the patent application of:

Inventors: Mark E. Epstein, Katonah, New York

Assignee: International Business Machines Corporation

Assignee Address: New Orchard Road  
Armonk, New York

For: PROCESSING DUAL TONE MULTI-FREQUENCY SIGNALS FOR USE WITH A NATURAL LANGUAGE UNDERSTANDING SYSTEM

Enclosed are:

- ☒ Specification, including the Abstract
- ☒ Sheets of drawings (3 sets)
- ☒ Executed Declaration and Power of Attorney
- ☒ Executed Assignment and Recordation Cover Sheet
- ☒ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
- ☒ Other: 2 postcards

CLAIMS AS FILED		
FOR	NO. FILED	NO. EXTRA
Basic Fee		
Total Claims	-25-	-5-
Indep. Claims	-5-	-2-
multiple dependent claim present No		

If the difference in Col. 1 is less than zero, enter "0" in Col. 2

Small Entity	
RATE	FEE
	\$ 355
x \$ 9 =	\$
x \$ 40 =	\$
x \$135 =	\$
TOTAL	\$

Other than a Small Entity	
RATE	FEE
	\$ 710
x \$ 18 =	\$ 90
x \$ 80 =	\$ 160
x \$270 =	\$
TOTAL	\$ 960

Assignment Recordal Fee

\$ 40

- ☐ Please charge my Deposit Account No. 50-0951 in the amount of \$ \_\_\_\_\_.
- ☒ A check in the amount of \$1,000.00 is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0951. A duplicate of this sheet is enclosed.
  - ☒ Any additional filing fees required under 37 C.F.R. 1.16.
  - ☒ Any patent application processing fees under 37 C.F.R. 1.17.
  - ☐ No fee enclosed. No fee is authorized.

1-31-01  
Date

Steven M. Greenberg  
Registration No. 44,725

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09/773172  
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**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Epstein		
Title	Processing Dual Tone Multi-Frequency Signals for Use with a Natural Language Understanding System		
Atty Docket Number	6169-212		

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

1-31-01

Date

Signature

Steven M. Greenberg, Reg. No. 44,725

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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